

Truist Investment Services, Inc. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2024

October 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	89.03	0.25	10.51	0.21

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	26.35	29.15	19.65	2.04	65.75	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	17.10	19.03	13.87	1.20	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	16.63	18.42	12.72	1.44	23.29	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	14.55	16.19	15.61	0.97	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	11.45	12.80	24.86	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	4.75	0.00	7.51	45.02	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	4.68	0.00	3.47	44.46	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	1.42	1.57	1.16	0.05	6.85	0	0.0000	0	0.0000	0	0.0000	0	0.0000
HRT FINANCIAL LP (HRTF)	0.91	1.01	0.00	0.07	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	0.80	0.88	0.58	0.07	4.11	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):
 Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

G1 Execution Services, LLC (ETMM):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Virtu Americas, LLC (NITE):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Jane Street Capital, LLC (JNST):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE New York Stock Exchange, Inc (NYSE):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NADAQ Execution Services, LLC (INET):
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Two Sigma Securities (SOHO):
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HRT FINANCIAL LP (HRTF):
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October 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	85.62	0.73	13.38	0.27

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	23.20	26.36	15.95	2.12	85.45	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	17.51	20.14	36.88	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	15.32	17.58	11.84	1.37	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	14.79	16.87	13.72	1.61	11.36	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	12.73	14.66	7.20	0.91	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NYSE ARCA (ARCA)	3.95	0.00	2.57	29.40	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	3.78	0.00	1.54	28.14	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	3.21	0.00	0.69	23.94	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	1.20	1.39	1.20	0.06	0.45	0	0.0000	0	0.0000	0	0.0000	0	0.0000
CBOE EDGX U.S. Equities Exchange (EDGX)	0.81	0.00	0.00	6.02	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

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Virtu Americas, LLC (NITE):

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Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NADAQ Execution Services, LLC (INET):

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NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Two Sigma Securities (SOHO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

CBOE EDGX U.S. Equities Exchange (EDGX):

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October 2024

**Options
Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	39.51	4.63	55.09	0.78

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	32.03	42.69	38.61	24.29	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	12.01	0.00	7.92	21.13	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
DASH Financial Technologies LLC (DFIN)	11.37	9.63	12.87	12.65	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	10.40	21.93	9.90	2.33	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	9.58	6.03	0.00	12.73	23.53	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Chicago Board Options Exchange (CBOE)	8.57	5.80	1.98	10.90	23.53	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	8.25	5.34	0.99	11.06	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley (MSCO)	6.14	6.73	26.73	3.66	29.41	0	0.0000	0	0.0000	0	0.0000	0	0.0000
CBOE BZX U.S. Equities Exchange (BATS)	1.65	1.86	0.99	1.25	23.53	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Wolverine Execution Svc. (WEXX):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

DASH Financial Technologies LLC (DFIN):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Susquehanna International Group, LLP (SUSQ):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Chicago Board Options Exchange (CBOE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

The Nasdaq Stock Market LLC (NSDQ):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Morgan Stanley (MSCO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

CBOE BZX U.S. Equities Exchange (BATS):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

November 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	89.99	0.35	9.40	0.25

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	30.06	32.84	21.96	2.64	72.37	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	16.57	18.10	17.29	1.88	16.45	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	15.49	16.99	12.62	1.62	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	12.61	13.88	6.54	0.97	1.32	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	11.43	12.60	25.23	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	4.15	0.00	8.41	43.81	1.32	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NDAQ Execution Services, LLC (INET)	4.08	0.00	3.74	43.17	0.66	0	0.0000	0	0.0000	0	0.0000	0	0.0000
HRT FINANCIAL LP (HRTF)	1.93	2.13	1.40	0.12	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	1.47	1.60	1.40	0.09	4.61	0	0.0000	0	0.0000	0	0.0000	0	0.0000
StoneX Financial Inc (INTL)	0.80	0.88	0.47	0.07	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

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Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Jane Street Capital, LLC (JNST):

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HRT FINANCIAL LP (HRTF):

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Two Sigma Securities (SOHO):

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StoneX Financial Inc (INTL):

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November 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	85.31	0.95	12.92	0.82

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	26.92	30.15	22.83	2.99	72.30	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	16.70	19.21	32.94	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	14.68	16.62	13.52	1.61	20.24	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	13.63	15.69	11.02	1.12	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	11.07	12.77	7.61	0.82	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	4.27	0.00	0.66	32.97	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	3.18	0.00	1.57	24.45	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

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NYSE New York Stock Exchange, Inc (NYSE)	2.64	0.00	1.05	20.35	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
HRT FINANCIAL LP (HRTF)	1.66	1.91	1.44	0.12	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	1.38	1.56	0.79	0.13	3.20	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):

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November 2024

Options

Summary

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100.00	29.23	4.63	66.13	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	34.38	49.82	38.89	27.24	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
DASH Financial Technologies LLC (DFIN)	13.28	11.09	12.22	14.32	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	12.25	1.23	8.89	17.35	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	9.83	26.23	13.33	2.33	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	9.57	2.46	3.33	13.15	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Chicago Board Options Exchange (CBOE)	7.10	2.11	3.33	9.57	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	6.85	1.41	3.33	9.49	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley (MSCO)	4.01	4.40	14.44	3.11	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
CBOE BZX U.S. Equities Exchange (BATS)	2.73	1.23	2.22	3.42	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

DASH Financial Technologies LLC (DFIN):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Wolverine Execution Svc. (WEXX):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Susquehanna International Group, LLP (SUSQ):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Chicago Board Options Exchange (CBOE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

The Nasdaq Stock Market LLC (NSDQ):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Morgan Stanley (MSCO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

CBOE BZX U.S. Equities Exchange (BATS):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

December 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	90.51	0.41	8.90	0.18

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	28.56	30.98	24.56	3.31	68.29	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	15.66	17.08	12.10	1.70	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	14.03	15.35	8.90	1.14	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	13.88	15.10	8.54	1.57	21.95	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	12.74	13.95	29.18	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	3.88	0.00	5.69	43.29	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	3.83	0.00	7.12	42.71	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
HRT FINANCIAL LP (HRTF)	3.66	4.00	2.49	0.35	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	2.17	2.36	1.42	0.20	7.32	0	0.0000	0	0.0000	0	0.0000	0	0.0000
StoneX Financial Inc (INTL)	0.49	0.54	0.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Jane Street Capital, LLC (JNST):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

G1 Execution Services, LLC (ETMM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NADAQ Execution Services, LLC (INET):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

HRT FINANCIAL LP (HRTF):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Two Sigma Securities (SOHO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

StoneX Financial Inc (INTL):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

December 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	86.27	1.00	12.43	0.30

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	25.58	28.74	23.92	2.89	62.74	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	17.35	19.74	32.77	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	13.93	15.82	9.41	1.47	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	12.63	14.24	8.96	1.42	28.52	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	12.43	14.11	10.20	1.27	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	3.82	0.00	2.83	30.48	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
HRT FINANCIAL LP (HRTF)	3.13	3.54	2.72	0.41	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	2.99	0.01	1.13	23.91	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	2.46	0.00	0.57	19.79	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Two Sigma Securities (SOHO)	1.93	2.18	1.47	0.22	2.66	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Jane Street Capital, LLC (JNST):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

G1 Execution Services, LLC (ETMM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

HRT FINANCIAL LP (HRTF):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NADAQ Execution Services, LLC (INET):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Two Sigma Securities (SOHO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

December 2024

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	26.32	12.01	61.66	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	26.41	42.98	17.12	21.15	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
DASH Financial Technologies LLC (DFIN)	14.03	19.18	8.17	12.96	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	13.51	3.55	10.89	18.27	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	13.04	2.31	16.34	16.98	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Chicago Board Options Exchange (CBOE)	9.12	0.71	9.34	12.66	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	8.70	0.71	10.12	11.83	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	7.29	21.85	5.84	1.36	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley (MSCO)	6.69	8.53	19.84	3.34	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
CBOE BZX U.S. Equities Exchange (BATS)	1.22	0.18	2.33	1.44	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):
 Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

DASH Financial Technologies LLC (DFIN):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Wolverine Execution Svc. (WEXX):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Chicago Board Options Exchange (CBOE):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

The Nasdaq Stock Market LLC (NSDQ):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Susquehanna International Group, LLP (SUSQ):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Morgan Stanley (MSCO):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

CBOE BZX U.S. Equities Exchange (BATS):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.